

UNI WORTH  
SECURITIES  
LIMITED

18th October, 2016

**The Secretary  
BSE Limited  
1st Floor  
P J Towers  
Dalal Street  
Mumbai – 400 001**

Dear Sir,

**Sub: Shareholding Pattern under Regulation 31 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended 30.09.2016**

We refer to Circular Ref. No. CIR/CFD/CMD/13/2015 dated November 30, 2015 issued by SEBI and as required, enclosed please find herewith Shareholding Pattern for the Quarter ended 30th September, 2016.

Please acknowledge receipt.

Thanking you,

Yours faithfully,  
For **Uniworth Securities Limited**



S. K. Rathi  
**Company Secretary**

Encl : As above

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1	Name of Listed Entity: Uniworth Securities Limited
2	Scrip Code/Name of Scrip/Class of Security: 512408, Uniworth Securities, Equity Shares.
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30th September, 2016
	b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

**FOR UNIWORTH SECURITIES LIMITED**

*[Signature]*  
Director

Table 1 - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
								No of Voting Rights	Class eg: X	Class eg: Y			Total	No. (a)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of	(XII)	(XIII)	(XIV)	
(A)	Promoter & Promoter Group	5	830400	0	0	830400	66.71	830400	0	830400	66.71	0	0	0	76900	
(B)	Public	24	414400	0	0	414400	33.29	414400	0	414400	33.29	0	0	0	108400	
(C)	Non Promoter - Non Public				0	0		0	0	0	0.00	0	0	0	0	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
	Total	29	1244800	0	0	1244800	100.00	1244800	0	1244800	100.00	0	0	0	185300	

FOR UNIWORTH SECURITIES LIMITED

  
Director

Uniworth Securities Limited

Table II (I)(a)- Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII)+(V)+(VI)	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities		No. of Shares Underlying securities (including convertible securities)	Shareholding as a % assuming full conversion of convertible securities (XI)=(VII)+(X) As a % of (VII)+(X)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights	Class eg: X			Class eg: Y	Total	No. (a)	As a % of total Shares held(b)	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII) As a % of (A+B+C2)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)			
1	Indian																
(a)	Individuals / Hindu Undivided Family		3	505800	0	0	505800	40.63	0	505800	40.63	0	0.0000	0	0.0000	0	0
i	Meena Lohia	ABBPL6014B	0	321300	0	0	321300	25.81	0	321300	25.81	0	0.0000	0	0.0000	0	0
ii	Aman Lohia	ABWPL1332M	0	138500	0	0	138500	11.13	0	138500	11.13	0	0.0000	0	0.0000	0	0
iii	Megha Lohia	ACCPL3109K	0	46000	0	0	46000	3.70	0	46000	3.70	0	0.0000	0	0.0000	0	0
(b)	Central Government / State Government(s) / Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0.00	0	0.0000	0	0.0000	0	0
(c)			0	0	0	0	0	0.00	0	0	0.00	0	0.0000	0	0.0000	0	0
(d)	Any Other (Specify)																
i	Bodies Corporate		1	76900	0	0	76900	6.18	0	76900	6.18	0	0.0000	0	0.0000	0	76900
	Uniworth Projects Ltd.	AAACU6334L	0	76900	0	0	76900	6.18	0	76900	6.18	0	0.0000	0	0.0000	0	76900
ii	Trust		1	247700	0	0	247700	19.90	0	247700	19.90	0	0.0000	0	0.0000	0	0
	Lohia Trust	AABTL3852A	0	247700	0	0	247700	19.90	0	247700	19.90	0	0.0000	0	0.0000	0	0
	Sub Total (A)(1)		5	830400	0	0	830400	66.71	0	830400	66.71	0	0.0000	0	0.0000	0	76900
2	Foreign																
	Individuals (Non-Resident)																
(a)	Individuals / Foreign		0	0	0	0	0	0.00	0	0	0.00	0	0.0000	0	0.0000	0	0
(b)	Government		0	0	0	0	0	0.00	0	0	0.00	0	0.0000	0	0.0000	0	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0.00	0	0.0000	0	0.0000	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0.00	0	0.0000	0	0.0000	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0.00	0	0.0000	0	0.0000	0	0
	Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0.00	0	0.0000	0	0.0000	0	0
	Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)		5	830400	0	0	830400	66.71	0	830400	66.71	0	0.0000	0	0.0000	0	76900

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

FOR UNIWORTH SECURITIES LIMITED



**Uniworth Securities Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying	Shareholding, as a % assuming full conversion of convertible securities (including securities)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
									No of Voting Rights	Class eg: X	Class eg: Y Total			(IX)	(X)	(XI) = (VII)+(X) As a % of	No. (a)	
1	Institutions	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)	(X)	(XI) = (VII)+(X) As a % of	(XII)	(XIII)	(XIV)			
(a)	Mutual Fund		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
(f)	Financial Institutions / Banks		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
(g)	Insurance Companies		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
(i)	Any Other (Specify)		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
	Sub Total (B)(1)		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
2	Central Government/ State Government(s)/ President of India																	
	Central Government / State Government(s)		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0
	Sub Total (B)(2)		0	0	0	0	0	0.00	0.00	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0

**FOR UNIWORTH SECURITIES LIMITED**



**Uniworth Securities Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Underlying Shares Outstanding (including convertible securities)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
									No of Voting Rights	Class eg: X	Class eg: Y			Total	(IX)	(X)	(XII)	
1	Custodian/DR Holder		(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)	(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)	0	0
	Employee Benefit Trust (under SEBI (Share based Employee 2 Benefit) Regulations, 2014)																	
	Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)		0	0	0	0	0	0	0	0.0000	0	0	0.0000	0	0	0.0000	0	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

**FOR UNIWORTH SECURITIES LIMITED**

*(Signature)*  
**Director**